Atty. Dkt. No. 016891-0866

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Kenji TERAO

Title:

RADIO COMMUNICATION TERMINAL AND RADIO SIGNAL

**RECEIVING METHOD** 

Appl. No.:

10/829,172

Filing Date: 04/22/2004

Examiner:

Urban, Edward F.

Art Unit:

2685

# INFORMATION DISCLOSURE STATEMENT **UNDER 37 CFR §1.56**

Mail Stop PATENT APPLICATION Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document, except as noted below, is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The USPTO has waived the requirement under 37 CFR 1.98(a)(2)(i) to submit copies of U.S. patents and U.S. patent application publications when citing and submitting an Information Disclosure Statements in a patent application filed after June 30, 2003 and in an international application that has entered the national stage under 37 USC §371 after June 30, 2003. Accordingly, copies of these types of documents are not being supplied in connection with this application. Reference is being made to OG Notice dated August 5, 2003, Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications filed after June 30, 2003.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

#### TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

## RELEVANCE OF EACH DOCUMENT

Any document listed on the attached PTO/SB/08 was cited as being relevant during the prosecution of the corresponding European application. A copy of the European Search Report is attached setting forth the portion of each document considered relevant by the examiner.

Applicant respectfully requests that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

## **STATEMENT**

The undersigned hereby states in accordance with 37 CFR §1.704(d) that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 CFR §1.56(c) more than thirty days prior to the filing of the information disclosure statement..

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

By David A. Blumenthal

Attorney for Applicant

Registration No. 26,257

Date: October 6, 2004

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-3-

**MODIFIED PTO/SB/08 (08-00)** 

Approved for use through 10/31/2002. OMB 0651-0031

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#### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Date Submitted: October 6, 2004

(use as many sheets as necessary)

Sheet

Complete if Known				
Application Number	10/829,172			
Filing Date	04/22/2004			
First Named Inventor	Kenji TERAO			
Group Art Unit	2685			
Examiner Name	Urban, Edward F.			
Attorney Docket Number	016891-0866			

U.S. PATENT DOCUMENTS						
Examiner Cite Initials* No.1 Number	U.S. Patent D	ocument		Date of Publication of	Pages, Columns, Lines, Where Relevant	
	Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	
	B1	2002/0118780	A1	HURLEY et al.	08-29-2002	
	B2	2002/0071507	A1	HASEGAWA	06-13-2002	

				F	DREIGN PATENT DOCUMEN	TS		
	Cite No. <sup>1</sup>	Foreign Patent Document  Office <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	В3	wo	01/52429		AIRNET COMM. CORP.	07-19-2001		
	B4	EP	1 065 805	A2	NEC CORPORATION	01-03-2001		
	B5	EP	0 886 388	A2	MITSUBISHI DENKI KABUSHIKI KAISHA	12-23-1998		
	B6	wo	95/09494		PACIFIC COMM. SCI. INC.	04-06-1995		
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NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*  Cite No.1  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue nur publisher, city and/or country where published.					

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Examiner Signature		Date Considered	

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. 2See attached Kinds of U.S. Patent Documents. 3Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 

Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 

Applicant is to place a check mark here if English language Translation is attached.